



Attorney Docket No. NTWK012/00US

PATENT

Sector 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re application of Duncan *et al.*

Serial No.: 09/774,001

Examiner: Unassigned

Filed: January 31, 2001

Art Unit: Unassigned

For: **SYSTEM AND METHOD FOR PROVIDING EXPANDABLE PROXY
FIREWALL SERVICES**

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(e)
mailed March 9, 2001, enclosed are:

- ☒ copy of the Notice to File Missing Parts of Application
- ☒ executed Declaration
- ☒ Power to Prosecute (with copy of Assignment)
- ☐ Assertion of Entitlement to Small Entity Status
- ☐ Application Data Sheet
- ☐ Other:

and the payment of the following fee(s):

- ☒ Filing fee of \$898.00
- ☒ Surcharge fee for Late Filing of Declaration of \$130.00
- ☐ Other fees:

Total Fee due: \$1,028.00.

☒ Check No. ___ in the amount of \$1,028.00 for the total fee is attached.

☐ Please charge \$___ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: April 12, 2001

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Respectfully submitted,
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/774,001	01/31/2001	William E. Duncan	NTWK-012/00US

CONFIRMATION NO. 2318

022903
COOLEY GODWARD LLP
ATTN: PATENT GROUP
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RESTON, VA 20190-5061

FORMALITIES LETTER



OC000000005843220

Date Mailed: 03/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$188.
 - \$108 for 6 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1028.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000053 09774001
04/16/2001 NABD11
01 FC:101
02 FC:102
03 FC:103
04 FC:105
710.00
60.00
108.00
130.00